SEC Form 4

FORM 4

Check this box if no longer subject

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB	APPROVAL

OMB Number: 3235-0287 Estimated average burden hours ner response 05

	Form 4 or Form 5	•			Estimated avera	age burden	
obligations may continue. See Instruction 1(b).		Filed	ed pursuant to Section 16(a) of the Securities Exchange Act of 1934		hours per respo	inse:	0.5
	-	T neu	or Section 30(h) of the Investment Company Act of 1940		<u>.</u>		
BASSWOO	ess of Reporting Pers <u>D CAPITAL</u> <u>1ENT, L.L.C.</u>	on*	2. Issuer Name and Ticker or Trading Symbol Dime Community Bancshares, Inc. /NY/ [DCOM]	5. Relationship of f (Check all applicat X Director Officer (gi below)	ble) X	n(s) to Issuer 10% Owner Other (specit below)	
(Last) 645 MADISON	(First) N AVENUE, 10TH	(Middle) FLOOR	3. Date of Earliest Transaction (Month/Day/Year) 02/08/2023	Director-by-Deputization			
(Street) NEW YORK (City)	NY (State)	10022 (Zip)	4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Individual or Joint/Group Filing (Check Applic: Line) Form filed by One Reporting Person X Form filed by More than One Reporting Person			
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned							

4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 1. Title of Security (Instr. 3) 2. Transaction 2A. Deemed 5. Amount of 6. Ownership 7. Nature of 3. Transaction Date Execution Date, Securities Form: Direct Indirect (Month/Day/Year) if any Code (Instr. 5) Beneficially (D) or Beneficial (Month/Day/Year) Owned Following 8) Indirect (I) Ownership (Instr. 4) Reported (Instr. 4) (A) or (D) Transaction(s) (Instr. 3 and 4) Code v Amount Price Common Stock, par value \$0.01 per See 02/08/2023 S 2,074 D \$31.25 1,168,198 T footnotes⁽¹⁾⁽²⁾ share ("Common Stock") See Common Stock 02/08/2023 S 519 D \$31.25 119,667 Ι footnotes⁽¹⁾⁽³⁾ See 02/08/2023 \$31.25 Common Stock S 10 D 53.194 T footnotes⁽¹⁾⁽⁴⁾ See Common Stock 02/08/2023 s 247 D \$31.25 984 Ι footnotes⁽¹⁾⁽⁵⁾ See \$31.25 02/08/2023 8 Common Stock S D 5,951 I footnotes⁽¹⁾⁽⁶⁾ See 9,404 02/09/2023 1,158,794 Common Stock S D \$31.17 T footnotes⁽¹⁾⁽²⁾ See Common Stock 02/09/2023 S 2,351 D \$31.17 117,316 I footnotes⁽¹⁾⁽³⁾ See 53,148 02/09/2023 46 Common Stock S D \$31.17 T footnotes⁽¹⁾⁽⁴⁾ See 02/09/2023 984 Common Stock S D \$31.17 0 T footnotes⁽¹⁾⁽⁵⁾ See 02/09/2023 S Common Stock 37 D \$31.17 5,914 I footnotes⁽¹⁾⁽⁶⁾ See Common Stock 386,445 I footnotes⁽¹⁾⁽⁷⁾ 138,282 **D**⁽¹⁾⁽⁸⁾ Common Stock **D**(1)(9) Common Stock 167,970.82

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

3. Transaction Date 1. Title of 3A. Deemed 5. Number 6. Date Exercisable and 7. Title and 8. Price of 9. Number of 11. Nature 10 2. Conversion Transaction Execution Date, Ownership Derivative Expiration Date Amount of Derivative derivative of Indirect of Security (Instr. 3) or Exercise Price of (Month/Day/Year) if any (Month/Day/Year) Code (Instr. 8) Derivative (Month/Day/Year) Securities Underlying Security (Instr. 5) Securities Beneficially Form: Direct (D) Beneficial Ownership Securities Acquired (A) or Disposed Derivative Derivative Owned or Indirect (Instr. 4) Security (Instr. 3 and 4) Following (I) (Instr. 4) Security Reported of (D) (Instr. 3, 4 Transaction(s) (Instr. 4) and 5) Amount Number Expiration Date of Code v (A) (D) Exercisable Date Title Shares

1. Name and Address of Reporting Person*

BASSWOOD CAPITAL MANAGEMENT,

<u>L.L.C.</u>		
(Last) 645 MADISON	(First) AVENUE, 10TH	(Middle) FLOOR
(Street) NEW YORK	NY	10022
(City)	(State)	(Zip)
1. Name and Addres		
(Last) BASSWOOD CA 645 MADISON		
(Street) NEW YORK	NY	10022
(City)	(State)	(Zip)
1. Name and Addres		
(Last) BASSWOOD CA 645 MADISON		(Middle) GEMENT, L.L.C. FLOOR
(Street) NEW YORK	NY	10022
(City)	(State)	(Zip)
1. Name and Addres BASSWOOD		
(Last) BASSWOOD CA 645 MADISON		
(Street) NEW YORK	NY	10022
(City)	(State)	(Zip)
1. Name and Addres BASSWOOD	1 8	^{m*} ITY FUND INC
(Last) BASSWOOD CA	(First) APITAL MANA((Middle) GEMENT L.L.C.
645 MADISON	AVENUE, 10TH	FLOOR
(Street) NEW YORK	NY	10022
(City)	(State)	(Zip)
1. Name and Addres BASSWOOD		
(Last)	(First)	(Middle)
BASSWOOD CA		
(Street) NEW YORK	NY	10022
(City)	(State)	(Zip)

1. Name and Address BASSWOOD FUND, L.P.	1 0	erson [*] AL LONG ONLY
(Last)	(First)	(Middle)
BASSWOOD C.	APITAL MAN	AGEMENT L.L.C.
645 MADISON	AVENUE, 107	TH FLOOR
(Street) NEW YORK	NY	10022
(City)	(State)	(Zip)
Explanation of Resp 1. See Exhibit 99.1.	oonses:	
1. See Exhibit 99.1.		

2. See Exhibit 99.1.

3. See Exhibit 99.1.

4. See Exhibit 99.1.

5. See Exhibit 99.1.

6. See Exhibit 99.1. 7. See Exhibit 99.1.

8. See Exhibit 99.1.

9. See Exhibit 99.1.

Remarks:

Exhibit List: ------- Exhibit 99.1 - Explanation of Responses Exhibit 99.2 - Joint Filer Information Exhibit 99.3 - Joint Filers' Signatures

BASSWOOD CAPITAL MANAGEMENT, L.L.C., /s/ 02/10/2023 Matthew Lindenbaum

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

Designated Filer: Basswood Capital Management, L.L.C. Issuer & TickerDime Community Bancshares, Inc. [DCOM] Symbol: Date of Event February 8, 2023 Requiring Statement:

Explanation of Responses:

1. This Form 4 is filed on behalf of Matthew Lindenbaum, Bennett Lindenbaum, Basswood Capital Management, L.L.C. ("BCM"), Basswood Partners, L.L.C. ("Partners"), Basswood Opportunity Fund, Inc. ("BOF"), Basswood Financial Fund, LP ("BFF") and Basswood Financial Long Only Fund, LP ("BFLOF") (collectively, the "Reporting Persons"). BCM is the investment manager or adviser to BFF, BFLOF, BOF, Basswood Opportunity Partners, LP ("BOP") and Basswood Co-Investment Fund (SPC), Ltd., For and on Behalf of Segregated Portfolio C-1 ("BCF"), (collectively, the "Funds") and certain separate managed accounts managed by BCM (the "Managed Accounts") and may be deemed to have a pecuniary interest in the Common Stock directly held by the Funds and held in the Managed Accounts. Partners is the general partner of BFF, BOP and BFLOF, and may be deemed to have a pecuniary interest in the Common Stock directly held by the Funds and held in the Managed Accounts. Partners is the general partner of BFF, BOP and BFLOF, and may be deemed to have a pecuniary interest in the Common Stock held directly by BFF, BOP and BFLOF. Matthew Lindenbaum and Bennett Lindenbaum are the managing members of BCM and may be deemed to have a pecuniary interest in the Common Stock held by the Funds or Managed Accounts, are reported herein. Each Reporting Person disclaims beneficial ownership of all shares of Common Stock held by the Funds or Managed Accounts, except to the extent of any direct or indirect pecuniary interest therein. BCM and Partners also disclaim beneficial ownership of the shares held directly by Matthew Lindenbaum and Bennett Lindenbaum and Bennett Lindenbaum each disclaim beneficial ownership of any securities reported herein for purposes of Section 16 of the Securities in this report shall not be deemed an admission of beneficial ownership of any securities reported herein for purposes of Section 16 of the Securities Exchange Act of 1934, as amended (the "Exchange Act") or otherwise.

Matthew Lindenbaum, a managing member of BCM, serves on the board of directors of the Issuer as a representative of the Reporting Persons. As a result, each of the Reporting Persons is a "director-by-deputization" solely for purposes of Section 16 of the Exchange Act. By reason of the provisions of Rule 16a-1 of the Exchange Act, each of the Reporting Persons may be deemed to be a beneficial owner of the shares of Common Stock issued to Matthew Lindenbaum.

- 2. Common Stock held directly by certain separate Managed Accounts managed by BCM.
- 3. Common Stock held directly by BFF.
- 4. Common Stock held directly by BFLOF.
- 5. Common Stock held directly by BCF. Following the transactions reported herein, BCF ceased to be subject to Section 16 of the Securities Exchange Act of 1934 and will no longer be a reporting person.
- 6. Common Stock held directly by BOF.
- 7. Common Stock held directly by BOP.
- 8. Common Stock held directly by Bennett Lindenbaum.
- 9. Common Stock held directly by Matthew Lindenbaum, which includes 6,955.824747 shares of Common Stock underlying restricted stock unit awards.

Designated Filer: Basswood Capital Management, L.L.C. Issuer & TickerDime Community Bancshares, Inc. [DCOM] Symbol: Date of Event February 8, 2023 Requiring Statement:

Joint Filers:

Exhibit 99.2 - Joint Filer Information

1. Name:	Matthew Lindenbaum Address: c/o Basswood Capital Management, LLC 645 Madison Avenue, 10 th Floor New York, NY 10022
2. Name:	Bennett Lindenbaum Address: c/o Basswood Capital Management, LLC 645 Madison Avenue, 10 th Floor New York, NY 10022
3. Name:	Basswood Capital Management, L.L.C. Address: c/o Basswood Capital Management, LLC 645 Madison Avenue, 10 th Floor New York, NY 10022
4. Name:	Basswood Partners, L.L.C. Address: c/o Basswood Capital Management, LLC 645 Madison Avenue, 10 th Floor New York, NY 10022
5. Name:	Basswood Opportunity Fund, Inc. Address: c/o Basswood Capital Management, LLC 645 Madison Avenue, 10 th Floor New York, NY 10022
6. Name:	Basswood Financial Fund, LP Address: c/o Basswood Capital Management, LLC 645 Madison Avenue, 10 th Floor New York, NY 10022
7. Name:	Basswood Financial Long Only Fund, LP Address: c/o Basswood Capital Management, LLC 645 Madison Avenue, 10 th Floor

New York, NY 10022

Designated Filer: Basswood Capital Management, L.L.C. Issuer & TickerDime Community Bancshares, Inc. [DCOM] Symbol: Date of Event February 8, 2023 Requiring Statement:

Joint Filers' Signatures

/s/ Matthew Lindenbaum Matthew Lindenbaum

/s/ Bennett Lindenbaum

Bennett Lindenbaum

Basswood Capital Management, L.L.C.

By: /s/ Matthew Lindenbaum

Name: Matthew Lindenbaum Title: Managing Member

Basswood Partners, L.L.C.

By: /s/ Matthew Lindenbaum Name: Matthew Lindenbaum Title: Managing Member

Basswood Opportunity Fund, Inc.

By: Basswood Capital Management, L.L.C.

By: /s/ Matthew Lindenbaum Name: Matthew Lindenbaum Title: Managing Member

Basswood Financial Fund, LP

By: Basswood Capital Management, L.L.C.

By: /s/ Matthew Lindenbaum Name: Matthew Lindenbaum Title: Managing Member

Basswood Financial Long Only Fund, LP

By: Basswood Capital Management, L.L.C.

By: /s/ Matthew Lindenbaum Name: Matthew Lindenbaum Title: Managing Member